

Annex 1. Initiation Plan / GEF PPG

Project Title: Implementing the Strategic Action Programme of the Drin Basin to strengthen transboundary cooperation and enable integrated natural resources management

Country: Regional (Albania, Montenegro, Kosovo¹, North Macedonia)

Country Programme Outcome:

Outcome 2: Economic Growth, Innovation and Climate Change

By 2026 innovative and integrated policy solutions accelerate sustainable, productive and inclusive economic development, enhancing climate change adaptation and mitigation and transition to a green and blue economy

Gender Marker rating: GEN 2

SESP Pre-Screening Categorization: Substantial

¹ All references to Kosovo are made in the context of UN Security Council Resolution 1244 (1999)

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I. BRIEF DESCRIPTION OF THE INITIATION PLAN/GEF PPG

Objective & Final Outputs

The objective of the GEF PPG is to develop the project concept into a full project: “Implementing the Strategic Action Programme of the Drin Basin to strengthen transboundary cooperation and enable integrated natural resources management”. As described in the project concept (PIF), this project aims to advance integrated natural resources management and sustainable development in the Drin River Basin and its coastal and marine areas by supporting the implementation of the Strategic Action Program (SAP) agreed upon by the Riparians. The Project will support the implementation of priority activities defined by the Riparians in the SAP with specific efforts to ensure that Gender considerations run Project implementation, and to apply the GEF principles for communication and learning.

The above will be achieved through four interrelated and mutually supportive components: Component 1) Enhancing the capacity of key institutions and stakeholders to effectively implement integrated natural resources management in the transboundary Drin Basin; Component 2) Consolidating the Drin Basin transboundary institutional, policy, and legal frameworks; Component 3) Drin Riparians implement actions addressing transboundary issues of concern; and Component 4) Mechanisms for ensuring participation, gender consideration, coordination, and monitoring progress.

The following information is to be consulted as background for the GEF PPG phase:

- PIF cleared for WP inclusion or GEF Council approved PIF
- SESP pre-screening (of PIF)
- Comments from GEF Secretariat, Council, STAP
- [Annotated UNDP-GEF Project Document Template](#) and associated guidance included therein
- [UNDP policies and procedures](#)
- UNDP-GEF guidance notes on GEF Project development (which will be provided by the RTA) and
- GEF policies, in particular GEF policies on: Project Cancellation; Fee Policy for GEF Partner Agencies; Project and Program Cycle; and Minimum Fiduciary Standards for GEF Partner Agency (in particular sections dealing with the required separation of oversight and execution support services). All GEF policies are available [here](#).

The final outputs of the GEF PPG are:

1. UNDP-GEF Project Document (ProDoc).
2. Mandatory annexes to the ProDoc listed in the [Annotated UNDP-GEF Project Document Template](#).
3. [GEF CEO Endorsement Request](#) and all mandatory annexes; and
4. Validation Workshop report (required for projects with a high SESP categorization; as appropriate for all others).

Any additional studies and other reports produced under the GEF PPG and not included in Annex to the Project document will be submitted to UNDP and saved for future reference.

Key Dates for the GEF PPG

Milestone	Date	Notes
Internal submission date for UNDP-GEF review and clearance	10 th October 2022	10 months of PIF approval for FSPs and 6 months for MSPs.

First GEF Submission Deadline for CEO Endorsement	<i>10th</i> <i>December</i> <i>2022</i>	First submission must be within 12 months of PIF approval for FSPs and 8 months for MSPs. Failure to submit a ProDoc and CEO ER to the GEF Sec by this date will lead to the automatic cancellation of the project by the GEF Sec.
CEO Endorsement Deadline after which the project will be cancelled if not endorsed	<i>10th</i> <i>June</i> <i>2023</i>	Endorsement must be within 18 months of PIF approval for FSPs and 12 months for MSPs. If the project has not been CEO endorsed by this date, then it will be automatically cancelled by the GEF Sec.

Management Arrangements

The Initiation Plan will be implemented by Global Water Partnership (GWP). GWP will lead the Project development process and manage the GEF PPG budget in full consultation with UNDP CO Albania and the BPPS/GEF Regional Technical Advisor. GWP will be maintaining Project disbursements and regular financial reporting. The GEF PPG Atlas budget is presented in *Section IV: Total Budget and Work Plan*).

As appropriate, a Working Group will guide the GEF PPG team, and review and endorse the GEF PPG deliverables. The Working Group is responsible for ensuring that the deliverables outlined in this GEF PPG are completed on time and in line with UNDP and GEF requirements. The UNDP Albania CO will act as the lead UNDP CO in both the PPG and the implementation phases of the Project. During the Project Document development, GWP will execute the activities on the ground, and UNDP Albania will be responsible for oversight on the ground with a support from UNDP Albania CO and UNDP IRH, will have full oversight of all procurement and activities required to develop the Project Document.

The PPG team will be composed of the following:

- 1) International Specialist for transboundary water management / Project Development (Team Leader)
- 2) International Specialist for transboundary water management / Project Document Preparation Specialist
- 3) International Specialists in Stakeholders Engagement, Gender mainstreaming, Social and Environmental Screening (SES)
- 4) International / National Experts as needed, specialized in *Integrated Water Resources Management / Monitoring/ Sewerage/ Irrigation/ Protected Areas/ Biodiversity Conservation, etc.*

Draft Terms of Reference (TORs) for the Team Leader and indicative team members is included in Annex 2 of this Initiation Plan.

Close collaboration and dialogue will be essential with various global and regional stakeholders as well as with specific experts from the GEF-Eligible and non-GEF-eligible countries.

II. STAKEHOLDER ENGAGEMENT, PUBLIC DISCLOSURE AND OTHER REQUIREMENTS

To ensure strong country ownership, and in line with the stakeholder engagement requirements outlined in UNDP's [Social and Environmental Standards](#) (SES), the [SES Guidance Note of Stakeholder Engagement](#), the GEF's [Guidelines on the Implementation of the Policy on Stakeholder Engagement and the GEF Policy on Gender Equality](#), the development of the Project to be undertaken during this GEF PPG phase will be done in full consultation and close engagement with government, CSO and other relevant stakeholders – in particular those who will benefit from and be directly involved in

the implementation of the Project (i.e. direct Project beneficiaries) and those who may be impacted (positively or negatively) by the Project. Stakeholder Engagement and analysis must be conducted in an inclusive and gender-responsive manner, so that the rights of women and men and the different structural barriers, knowledge, needs, roles and interests of women and men are recognized and addressed.

Careful and complete documentation of stakeholder engagement is vital. Detailed evidence of all consultations will be prepared and submitted to UNDP. A list of the consulted stakeholders and details of the associated meetings will be included in the Stakeholder Engagement Plan (see section B-d below).

If the fully designed Project has an overall Social and Environment Screening Procedure (SESP) categorization of moderate or high, then the following disclosure requirements apply:

1. A final validation workshop report will be prepared summarizing the outcomes of the validation workshop and other consultations undertaken during the PPG phase. This report will become a key reference document should an environmental and social complaint/grievance be filed during Project implementation. This is mandatory for high risk projects and recommended for moderate risk projects.
2. The UNDP-GEF Project document, SESP and related draft management plans, and other relevant information/documents, will be made available to the public on the UNDP Country Office website or open.undp.org. It is recommended to make these documents available for 30 days in advance of the LPAC meeting for moderate risk projects, and 120 days in advance for high risk projects.

The [SES Supplemental Guidance on Disclosure](#) contains more information.

III. GEF PPG ACTIVITIES

Component A: Preparatory Technical Studies & Reviews

The following technical studies and reviews will be conducted.

a. Desktop and field-based studies and data collection

The extensive documentation developed under the foundational GEF Drin Project² and also follow up assessments conducted in the frame of 'Integrated Climate Resilient transboundary flood risk management in the Drin River basin in the Western Balkans' supported by Adaptation Fund and implemented by UNDP. This research should produce the background information required to prepare the ProDoc (including its Annexes) and CEO Endorsement Request, including but not limited to:

- Development challenge and strategy (including threats, problems and barrier assessment);
- Review of national policy and legislative frameworks;
- Problem and solution trees, assumptions and risks, developed in consultation with project stakeholders, for a robust Theory of Change, Results Framework and solid M&E plan;
- Review of relevant past and ongoing projects for lessons, including [project evaluations](#); and
- Any other analyses required to address all comments on the PIF received from GEF Secretariat, GEF Council members and STAP

² The TDA is prepared as a synthesis report drawing on 6 Thematic Reports: (i) Pollution; (ii) Institutional and Legal Setting; (iii) Biodiversity and Ecosystems; (iv) Socioeconomics; (v) Hydrology/Hydrogeology; (vi) Water-Food-Energy-Ecosystems.

Project design meetings will be undertaken with the Drin Core Group being the joint body responsible to coordinate work for the implementation of the Drin MoU for the management of the Drin Basin. The Project document will be presented for discussion to the DCG. Meetings will be held also with key government entities from the participating Drin riparians and various regional stakeholders/donors to: I) Introduce the PPG team and other key players involved in preparation and submission of the Project Document, II) Confirm and familiarize key stakeholders with the planned Project strategy and consider any changes that may have taken place in the overall context since the Project was initially conceptualized that may require amendments to the strategy and implementation, III) Review specific inputs required from the national and regional level, for defining the details of Project activities including the geographical areas of focus where this is necessary, co-financing contributions and confirmation, country endorsements, etc., IV) Define and briefly explain the compulsory Annexes to the Project Document that GEF requires, V) Present the timeline and road-map for delivering the submission to GEF including the Validation Workshop requirements and GEF Review Process, VI) Plan and schedule further meetings and consultations prior to submission of the Project Document to GEF. During the project design the micro assessment of the implementing partner for the full size project will be conducted.

b. Gender Analysis

A gender analysis will be prepared to fully consider the different needs, roles, benefits, impacts, risks, differential access to and control over resources of women and men (including considerations of intersecting categories of identity such as age, social status, ethnicity, marital status, etc.) and to identify appropriate measures to address these and promote gender equality and women's empowerment. See guidance available [here](#).

c. Social and Environmental Standards: Screening and Assessments

The social and environmental safeguards pre-screening (pre-SESP) prepared during the PIF design phase has initially determined the overall risk categorization of this Project as “*Substantial*” and highlighted potential safeguard risks to be further assessed during the PPG phase.

An Environmental and Social Management Framework (ESMF) will be prepared, to ensure that the required assessments are carried out during the first phase of Project implementation. A standard template for an environmental and social management framework is available here: [ESMF outline](#). The ESMF and ProDoc must clearly state that none of the associated Project activities will commence until: the assessment(s) have been completed; the required management plan(s) have been prepared; and the plan(s) have been disclosed and approved by the Project Board.

d. Identification of project sites

Based on the above reviews, and through consultation with stakeholders, the targeted Project demonstration sites will be identified. This should include providing geographic coordinates and maps for inclusion in the ProDoc.

e. Financial planning, co-financing and investment mobilized

Co-financing and investment mobilized -as defined in the GEF Policy and Guidelines on co-financing- will be confirmed, and additional sources identified through a series of consultations with partners to ensure a coherent and sustainable financing package for the Project, including post-GEF grant phase to the extent possible. The GEF is seeking high co-financing/investment mobilized to GEF grant ratios with a preference for grants, loans and other public investments over in-kind co-financing. The GEF also expects the Governments and other co-financing partners to significantly support the costs associated with Project execution (i.e., PMC).

f. Stakeholder analysis

Building on the initial identification of stakeholders in the PIF, an appropriately-scaled analysis of project stakeholders will be undertaken. This stakeholder analysis will provide the foundation for development of the project's Stakeholder Engagement Plan and will facilitate prioritization of engagement activities with particular stakeholder groups and individuals. See the [UNDP SES Guidance Note on Stakeholder Engagement](#).

g. Appraise and formulate the most appropriate project implementation and execution modality

The design of the Project will comply with the UNDP's Program and Operations Policy and Procedures (POPP), Financial Regulations, and Programme and Project Management and Quality Standards, and UNDP-GEF guidance (which will be provided by the RTA). A full assessment of the most appropriate project implementation and management arrangements will be carried out in full consultation with the RTA, UNDP Country Office, Resident Representative (or their Deputy), the relevant government coordinating agency, and the GEF OFP.

UNDP should in general not have a role in project execution as project execution is the primary responsibility of the selected Implementing Partner (see UNDP-GEF Guidance Note for further information). Therefore, an assessment and the selection of the most appropriate Implementing Partner and/or third parties (Responsible Parties) with full capacity to execute the project must be carried out.

The Project execution is the primary responsibility of the selected Implementing Partner (see UNDP-GEF Guidance Note for further information). GWP will have the role of the Implementing Partner in line with the Project beneficiary countries GEF OFPs endorsement letters.

Project management arrangements need to be discussed and agreed early in the PPG phase. During the first two months of the PPG phase:

- Carry out capacity assessments to assess their capacity to implement the project and assess all related risks. This must include HACT and PCAT assessments.
- Discuss the level of co-financing the Governments and Implementing Partner are able to commit to this Project. As per GEF Policy, the GEF is seeking high co-financing to GEF grant ratios and expects the Governments to significantly support the costs associated with project execution (i.e. PMC) for this Project.
- Based on these assessments, confirm the Implementing Partner for the Project in consultations with all relevant stakeholders. The selected Implementing Partner must have expressed willingness to serve as the Implementing Partner for the Project and the Implementing Agencies must agree to use the selected Implementing Partner for the Project. (Please see [UNDP's Policy on Selecting Implementing Partners](#)).
- If the Implementing Partner does not have full capacity to execute the project, and execution support is likely to be needed, discuss with the UNDP Resident Representative whether the choice of Implementing Partner is the correct choice. If not, select another Implementing Partner, if possible. If that is not an option, explore alternative options for the provision of execution support via Responsible Parties ([see UNDP Policy on Selecting Responsible Parties](#)). Discuss with the Implementing Partner the role of the responsible parties in project execution, and the execution support these parties could provide.
- Consult with the RTA on the latest guidance regarding UNDP providing support services to the Implementing Partner. If the costs for UNDP to provide support services is to be charged to the GEF project budget, the UNDP support services must be approved by the GEF Secretariat before CEO endorsement.

Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory and Project Specific Annexes

Based on the technical studies and reviews undertaken under **Component A**, the UNDP-GEF Project Document will be developed (following the annotated UNDP-GEF Project Document available [here](#)), and the GEF CEO Endorsement Request (available [here](#)) will be prepared. See additional guidance notes below.

The GEF PPG Team Leader will be responsible for the consolidation and finalization of all required materials.

a. Stakeholder Engagement Plan:

A comprehensive Stakeholder Engagement Plan will be developed using input from the Stakeholders Analysis. At a minimum, the Plan must include the following elements:

- Stakeholders who have been and will be engaged (based on stakeholder analysis), including potentially marginalized or disadvantaged groups/individuals (the ‘who’);
- Key stakeholder objectives and interests (the ‘why’);
- Steps and actions to achieve meaningful consultation and inclusive participation, including information dissemination and any special measures required to ensure inclusive participation of marginalized disadvantaged groups/individuals the dissemination of information (the ‘how’);
- Breadth and depth of stakeholder engagement throughout the project cycle, and decisions that need to be made through stakeholder engagement (the ‘what’);
- Timeline for engagement activities and how they will be sequenced, including information disclosure (the ‘when’);
- Indicators of stakeholder engagement and monitoring plan;
- Roles and responsibilities for ensuring effective stakeholder engagement through implementation of the Plan; and
- Resource requirements and associated budget.
- A project-level Grievance Redress Mechanism (GRM) will be described in the Stakeholder Engagement Plan and established in the first year of project implementation. See the UNDP [guidance on GRM](#) and [sample TOR](#).
- A comprehensive Stakeholder Engagement Plan is required.

See the [UNDP SES guidance on Stakeholder Engagement](#), the [standard SEP template](#), and the [GEF guidelines on stakeholder engagement](#).

b. Gender Action Plan and Budget

The gender analysis conducted in **Component A** along with relevant findings from the stakeholder analysis and background studies, will form the basis of a Gender Action Plan to guide gender mainstreaming during Project implementation. The Gender Action Plan must include indicators, targets, timeframe, responsible party and budget which are linked to the Project’s components/outputs, outcomes and activities. As part of the work of preparing the Gender Action Plan, indicators should be proposed for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions.

See guidance available [here](#).

c. **Social and Environmental Standards: Screening and Management Measures**

In line with the assessments conducted during **Component A** (above) and [UNDP’s Social and Environmental Standards \(SES\) policy and all associated SES Guidance Notes](#), the SESP will be updated and all risks identified in the SESP will be reflected in the risk table and risk section of the ProDoc.

The ESMF will identify the required management plans that will be prepared during Project implementation.

See the [SES Guidance Note on Assessment and Management](#) for further guidance.

d. **GEF and LDCF/SCCF Core Indicators**

The CEO endorsement-stage data for the relevant Core Indicators and sub-indicators will be prepared.

The completed [Core Indicators worksheet](#)—with both the original PIF-stage data and the CEO endorsement-stage data—will be annexed to the ProDoc.

The Core Indicators for this Project are:

- 7: Number of shared water ecosystems (fresh or marine) under new or improved cooperative management
- 11: Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment

The Core Indicators will be used in the Project’s Results Framework, at the Objective level, where appropriate. See the [GEF policy and guidance](#).

e. **Completion of the required official endorsement letters**

These letters include the official letters on co-financing guarantee(s) from participating government institutions, bilateral development partners, multilateral development partners, NGOs, private sector, or others who wish to provide cash or in-kind contributions to the Project.

A GEF OFP endorsement letter will also be required for any new participating countries to a global or regional program/project that was not included with the PIF/PFD submission.

Updated GEF OFP endorsements letters are also required if the requested GEF grant amount has changed since PIF/PFD approval.

f. **Mandatory Annexes**

In addition to the documents listed above, the following Annexes should be prepared by the GEF PPG team:

- Project map and Geospatial Coordinates of Project sites
- Multi Year Work Plan
- Monitoring Plan
- UNDP Risk Register
- Overview of Technical Consultancies
- GEF 7 Taxonomy
- Initial Project Team Procurement Plan and TORs for key Project Team staff

Upon a request from the UNDP Regional Technical Adviser during the PPG implementation, the PPG team may be required to prepare additional annexes.

g. Project Management Arrangements

Based on the stakeholder analysis and consultations undertaken in **Component A** above, agreement(s) on project management and governance arrangements—including roles, responsibilities and accountabilities of lead and partner Agencies—will be secured early in the project development phase and will be fully detailed in the ProDoc. Standard text from the governance and management arrangement from the GEF-7 template should never be removed.

Component C: Validation Workshop and Report

A validation workshop will be held with relevant stakeholders to present, discuss and validate the project activities, and the final draft of the UNDP-GEF project document if possible. A validation workshop report will be prepared for projects with an overall safeguards risk rating of moderate or high.

IV. GEF PPG ACTIVITIES TIMEFRAME

PPG Activity	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
Inception phase / recruitment										
Component A: Technical studies, etc.										
Component B: Formulation of ProDoc, etc.										
Component C: Validation Workshop										
Delivery of final outputs										

V. MANDATORY ANNEXES

Annex 1: GEF CEO PIF/PPG Approval Letter



November 8, 2021

Mr. Pradeep Kurukulasuriya
GEF Executive Coordinator
United Nations Development Programme (UNDP)
New York City, USA

Dear Mr. Kurukulasuriya:

I am pleased to inform you that I have cleared the project concept detailed below for inclusion in the upcoming work program. I have also approved your request for Project Preparation Grant.

Decision Sought:	Project Identification Form (PIF) Clearance for Work Program Inclusion and Project Preparation Grant (PPG) Approval
GEFSEC ID:	10881
Agency:	UNDP
Agency ID:	6300
Focal Area:	International Waters
Project Type:	Full-sized Project
Countries:	Regional (Albania, Kosovo, Montenegro, North Macedonia)
Name of Project:	Implementing the Strategic Action Programme of the Drin Basin to Strengthen Transboundary Cooperation and Enable Integrated Natural Resources Management
Indicative GEF Project Financing:	\$7,105,936
Indicative Agency Fee:	\$675,064
PPG:	\$200,000
PPG Fee:	\$19,000
Funding Source:	GEF Trust Fund

Indicative Agency Fee Commitment:					
Agency	Trust Fund	20% to be committed at Council approval (US\$)	50% to be committed at first disbursement (US\$)	30% to be committed at mid-term review (US\$)	Total (US\$)
UNDP	GEFTF	135,013	337,532	202,519	675,064

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Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG)

The PPG TORs below are provided as indication and shall be adjusted according to the needs. The cost per consultancy week and number of weeks provided below are indicative only and may be revised.

Position, Type and Cost	Role, Deliverables and Qualifications
<p>Team Leader Position: PPG Team Leader/International Waters Specialist</p>	<p>Role The PPG Team Leader and will be responsible for quality assurance and timely preparation of all reports and documentation, including the finalized UNDP Project Document (ProDoc) and CEO Endorsement Request, with all mandatory and project specific Annexes and supporting documentation in close consultation with UNDP CO. S/he will be responsible for managing all consultants on the GEF PPG Team, and coordinating the Team’s work.</p> <p>Deliverables</p> <ol style="list-style-type: none"> 1) <u>Management of the GEF PPG Team</u> <ol style="list-style-type: none"> a. Define and submit a detailed methodology and work plan in consultation with the other consultants with clear delegation of responsibilities for the International Consultants (ICs) and National Consultants (NCs); b. Ensure that project development is participatory, gender-responsive and based on extensive stakeholder engagements; and c. Verify and ensure that all project components are technically sound and cost effective. 2) <u>Preparatory Technical Studies and Reviews (Component A):</u> working closely with the International Waters Project Development Specialist/Technical Advisor: <ol style="list-style-type: none"> a. Ensure that the baseline/situational analysis for the full-size project (FSP) is precise and in line with the needs of the PPG process and goals; b. Coordinate the preparation of the gender analysis and ensure that the Project documentation is informed with its findings; c. Oversee the development of selection criteria for the identification of the project sites and coordinate the identification of the project sites; d. Ensure action points, including risk assessments, from the UNDP Social and Environmental Screening Procedure (SESP) at the PIF stage (“pre-screening”) are fully implemented during the PPG phase, and update that screening in an iterative fashion throughout the PPG, as appropriate; e. Oversee the consultations with partners regarding financial planning; f. Lead and oversee the identification of opportunities for private sector engagement and co-financing; g. Ensure the preparation of partner capacity assessments and discussions on management arrangements early in the PPG process. 3) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u> working closely with the International Waters Project Development Specialist/Technical Advisor: <ol style="list-style-type: none"> a. Oversee and ensure the preparation of the project’s theory of change, Results Framework, Monitoring and Evaluation Plan and Budget, Stakeholder Engagement Plan, Gender Action Plan and Budget, environmental and/or social management plan(s) as required, GEF tracking tool(s), indicative procurement plan;

	<ul style="list-style-type: none"> b. Secure and present agreements on project execution and management arrangements and ensure that the project aligns to UNDP-GEF guidance on UNDP execution support; c. Ensure the completion of the required official endorsement letters; d. Secure all co-financing letters; e. Oversee the development and review the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes. <p>4) <u>Validation Workshop (Component C):</u></p> <ul style="list-style-type: none"> a. Lead the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans; b. Oversee all necessary revisions that arise during the workshop; and c. Ensure completion of Validation Workshop Report. <p>5) <u>Review and ensure the Final Deliverables are developed timely and having the necessary quality.</u></p> <p>6) <u>Coordination with the DCG and Stakeholders</u></p> <ul style="list-style-type: none"> a. Coordinate with the Drin Core Group and the GEF OFPs to ensure that their views are integrated in the Final Deliverables. <p>Qualifications</p> <ul style="list-style-type: none"> 1) Master’s degree or higher in a relevant field, such as environmental management or science, international relations, economics, development studies or related fields, or equivalent demonstrated experience; 2) Minimum 10 years of demonstrable experience in the technical area of international waters and project management; 3) Fluency in written and spoken English; 4) Demonstrated experience in GEF IW project management and formulation 5) Excellent coordination and facilitation skills
<p>Technical Advisor Position: International Waters Specialist/Technical Advisor</p>	<p>Role The International Waters Project Development Specialist/Technical Advisor will be responsible for drafting the UNDP Project Document (ProDoc) and CEO Endorsement Request, with all mandatory and project specific Annexes and supporting documentation. As part of her/his role, s/he will be reviewing and providing technical advice for the development of reports and documentation, necessary for the development of the UNDP Project Document (ProDoc) and CEO Endorsement Request.</p> <p>Deliverables</p> <ul style="list-style-type: none"> 1) <u>Overall</u> <ul style="list-style-type: none"> a. Provide advice to ensure that all PPG deliverables are technically sound. 2) <u>Preparatory Technical Studies and Reviews (Component A):</u> With inputs from the other national and international consultants, as detailed in their respective TORs: <ul style="list-style-type: none"> a. Compile baseline/situational analysis for the full-size project (FSP). This will include a precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; definition of GEF incremental value per outcome and output; and presentation of results of the incremental cost-analysis in matrices as appropriate; b. Review the gender analysis and ensure that the findings are meaningfully integrated into the project’s strategy, theory of change and results framework; c. Define the technical selection criteria and technically advise on the identification of the project sites, so there is documentation of selection criteria and making sure

	<p>that geo-referenced data and maps are clearly presented both for targeted protected areas and broader landscapes, as applicable; propose the list of project sites and work with the Team Leader and National experts for its finalisation.</p> <p>d. Identify, review and technically advise regarding the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs.</p> <p>3) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B)</u>: With inputs from the other national and international consultants, as detailed in their respective TORs, and based on international best practice:</p> <ul style="list-style-type: none"> a. Develop, present and articulate the project’s theory of change; b. Develop the Results Framework in line with UNDP-GEF policy; c. Develop a detailed Monitoring and Evaluation Plan and Budget; d. Provide technical advice for the preparation of a Stakeholder Engagement Plan; e. Provide technical advice for the preparation of a Gender Action Plan and Budget; f. Oversee and ensure the updating of the SESP based on assessments undertaken during Component A, and ensure the development of environmental and/or social management plan(s) as required; g. Prepare the required GEF tracking tool(s) (if required) and GEF Core Indicators and ensure these are supported by robust and validated data; h. Prepare the indicative procurement plan; i. Synthesize all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes, using the required templates.³ <p>4) <u>Validation Workshop (Component C)</u>:</p> <ul style="list-style-type: none"> a. Co-lead the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans; b. Integrate all necessary revisions that arise during the workshop; and c. Prepare the Validation Workshop Report. <p>5) <u>Final Deliverables</u>:</p> <ul style="list-style-type: none"> a. Consolidation of all technical and consultation inputs including from national stakeholders, UNDP, GEF Secretariat, STAP and GEF Council, into a well written and concise UNDP ProDoc with all required sections and Annexes, in line with the standard UNDP-GEF ProDoc template and annotated guidance; b. Completion of the GEF CEO Endorsement Request; c. Finalized SESP (and stand-alone management plans as required); d. All documentation from GEF PPG (including technical reports, meeting minutes, etc.); and e. Validation Workshop Report. <p>Qualifications</p> <ul style="list-style-type: none"> 6) Master’s degree or higher in a relevant field, such as environmental management or science, international relations, economics, development studies or related fields, or equivalent demonstrated experience; 7) Minimum 10 years of demonstrable experience in the technical area of international waters and project management; 8) Fluency in written and spoken English; 9) Demonstrated experience in GEF IW project formulation
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	<p>10) The consultant must have knowledge of the GEF IW portfolio, including GEF7 strategies/programmatic frameworks, and be aware of eligibility criteria for GEF projects;</p> <p>11) Excellent coordination and facilitation skills</p>
<p>Position: Social and Environmental Standards Specialist</p>	<p>Role The Specialist will be a member of the PPG team and provide technical input and support in relation to screening and assessing Social and Environmental Standards, used for the preparation of the Project Document.</p> <p>Deliverables</p> <ol style="list-style-type: none"> 1) <u>Preparatory Technical Studies and Reviews (Component A):</u> Working closely with the Team Leader, the Technical Advisor, the Stakeholders Engagement Specialist and the Gender Specialist, prepare necessary inputs and support the development of the Project Document, including the following. <ol style="list-style-type: none"> a. Ensure action points, including risk assessments, from the UNDP Social and Environmental Screening Procedure (SESP) at the PIF stage (“pre-screening”) are fully implemented during the PPG; b. Prepare an Environmental and Social Management Framework (ESMF) -as per related UNDP guidance- to ensure that the required assessments with regard to Social and Environmental Standards are carried out during the first phase of Project implementation. The ESMF should include all or part of the following: <ul style="list-style-type: none"> • description of the potential social and environmental risks and impacts • applicable legal and institutional frameworks • required procedures for screening, assessment and management • stakeholder engagement and information disclosure process • grievance redress mechanism • monitoring and evaluation arrangements • Action Plan for ESMF implementation 2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u> Update the SESP based on assessments undertaken during Component A and develop environmental and/or social management plan(s) as required. 3) <u>Validation Workshop (Component C):</u> <ol style="list-style-type: none"> a. Contribute to the workshop with regard to discussing and validating the SESP and any management plans; b. Support all necessary revisions that arise as a result of the input provided during the workshop, as appropriate. <p>Qualifications</p> <ol style="list-style-type: none"> 1) Bachelor’s degree or higher in a relevant field, such as Integrated Water Resources Management, environmental management, environmental engineering or related; 2) Minimum 10 years of demonstrable experience in the technical area of Integrated Water Resources Management, environmental management, environmental impact assessment or alike; 3) Demonstrable experience on UNDP SESP and development of ESMF 4) Experience in working in international and national projects in the region; 5) Fluency in written and spoken English and <i>working knowledge of national languages in the Project beneficiaries.</i>

<p>Position: Gender Specialist</p>	<p>Role The Specialist will be a member of the PPG team and provide technical input and support so as the preparation of the Project Document will be done in a gender responsive manner as well as to ensure that the Project is developed and implemented in an inclusive and gender-responsive manner.</p> <p>Deliverables</p> <ol style="list-style-type: none"> 1) <u>Preparatory Technical Studies and Reviews (Component A)</u>: Working closely with the Team Leader, the Technical Advisor, the Stakeholders Engagement Specialist and the Social and Environmental Standards Specialist prepare necessary inputs and support the development of the Project Document, including the following. <ul style="list-style-type: none"> • update the gender analysis -as per related UNDP guidance- developed during the foundational project and work to ensure that its findings are meaningfully integrated into the project’s strategy, theory of change and results framework; • support completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs. 2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B)</u>: Using the gender analysis results along with relevant findings from the stakeholder analysis and background studies, prepare the Gender Action Plan and Budget to guide gender mainstreaming during Project implementation and propose indicators for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions. 3) <u>Validation Workshop (Component C)</u>: <ul style="list-style-type: none"> • Contribute to the validation workshop; and • Support all necessary revisions that arise during the workshop, as appropriate. <p>Qualifications</p> <ol style="list-style-type: none"> 1) Bachelor’s degree or higher in a relevant field, such as political sciences, sociology or related; 2) Minimum 10 years of demonstrable experience in Gender mainstreaming in the framework of project development and implementation; 3) Experience in the field of international waters would be an asset; 4) Fluency in written and spoken English and <i>working knowledge of national languages in the Project beneficiaries.</i>
<p>Position: Stakeholders Engagement Specialist / Communication Specialist</p>	<p>Role The Specialist will be a member of the PPG team and provide technical input and support so as stakeholders’ awareness for the project is raised and they are meaningfully engaged in the development and implementation of the project.</p> <p>Deliverables</p> <ol style="list-style-type: none"> 1) <u>Overall</u>: Working closely with the Team Leader and the Technical Advisor, lead and advise on stakeholder awareness raising and consultations for the PPG phase and ensure that these are complete and comprehensive. 2) <u>Preparatory Technical Studies and Reviews (Component A)</u>: Working closely with the Team Leader, the Technical Advisor, the Gender Specialist and the Social and Environmental Standards Specialist prepare necessary inputs and support the development of the Project Document, including the following. <ol style="list-style-type: none"> a. Develop a Stakeholders analysis using information and updating the Stakeholders Analysis developed through the foundational project;

	<p>b. Support completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs.</p> <p>3) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B)</u>: Using the results of the Stakeholders Analysis:</p> <ol style="list-style-type: none"> a. Prepare the Stakeholder Engagement Plan; b. Contribute to the updated SESP as needed and the development of environmental and/or social management plan(s) for all risks identified as Moderate or High in the SESP. <p>4) <u>Validation Workshop (Component C)</u>:</p> <ol style="list-style-type: none"> a. Design and assist in the implementation of the validation workshop, and contribute in this as appropriate; b. Support all necessary revisions that arise during the workshop, as appropriate. <p>Qualifications</p> <ol style="list-style-type: none"> 1) Bachelor’s degree or higher in a relevant field, such as Integrated Water Resources Management, environmental management, political sciences or related 2) Minimum 10 years of demonstrable experience in Stakeholders analysis and engagement; 3) Experience in the field of international waters; 4) Fluency in written and spoken English and <i>working knowledge of national languages in the Project beneficiaries.</i>
<p>Proposed additional national experts</p>	<p>International / National Experts specialised in Integrated Water Resources Management / Monitoring/ Sewerage/ Irrigation/ Protected Areas/ Biodiversity Conservation</p>
<p>Position: PPG National experts</p>	<p>Role</p> <p>The Specialist (Integrated Water Resources Management / Pollution/ Monitoring/ Irrigation/ Protected Areas/ Biodiversity Conservation) will be the member of the PPG team and provide technical input and support to the preparation of the Project Document.</p> <p>Deliverables</p> <ol style="list-style-type: none"> 4) <u>Preparatory Technical Studies and Reviews (Component A)</u>: Prepare inputs and support the required analyses/studies as agreed with the PPG Team Leader (relevant to the expert specialisation) and Technical Advisor. 5) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B)</u>: Prepare inputs and support the development of final PPG deliverables, as agreed with the PPG Team Leader (relevant to the expert specialisation) and Technical Advisor. 6) <u>Validation Workshop (Component C)</u>: <ol style="list-style-type: none"> a. Contribute to the validation workshop; and b. Support all necessary revisions that arise as a result of the input provided during the workshop, as appropriate. <p>Qualifications</p> <ol style="list-style-type: none"> 5) Bachelors degree or higher in a relevant field, such as Integrated Water Resources Management / Pollution/ Monitoring/ Irrigation/ Protected Areas/ Biodiversity Conservation;

	<ul style="list-style-type: none">6) Minimum 5 years of demonstrable experience in the technical area of Integrated Water Resources Management / Pollution/ Monitoring/ Irrigation/ Protected Areas/ Biodiversity Conservation;7) Minimum 3 years of experience in working in international and national projects in the region;8) Fluency in written and spoken English and <i>working knowledge of national languages in the Project beneficiaries.</i>
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